

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78632

Toshio GOTO, et al

Appln. No.: National Stage of PCT/JP2004/016920

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: May 10, 2006

Examiner: Unknown

For: RADICAL GENERATING METHOD, ETCHING METHOD AND APPARATUS FOR
USE IN THESE METHODS

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report for PCT/JP2004/016920 dated February 2, 2005), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanation: An English language abstract, submitted herewith, constitutes a concise statement of relevance for Japanese Patent Publication No. 11-145118.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.


Respectfully submitted,

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WASHINGTON OFFICE

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Date: May 10, 2006

Substitute for Form 1449 A & B/PTO		Complete if known 44578835	
		Application Number	National Stage of PCT/JP2004/016920
<u>INFORMATION DISCLOSURE</u> <u>STATEMENT BY APPLICANT</u> (use as many sheets as necessary)		Confirmation Number	Unknown
		Filing Date	May 10, 2006
		First Named Inventor	Toshio GOTO
		Art Unit	Unknown
		Examiner Name	
		Attorney Docket Number	Q78632
Sheet	1	of	1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
/RM/		US 6,511,608	B1	01/28/2003	MASAHITO MORI, ET AL
/RM/		US 6,197,151	B1	03/06/2001	TETSUNORI KAJI, ET AL
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
/RM/		EP	0 777 267	A1	06/04/1997	APPLIED MATERIALS, INC.	
/RM/		JP	11-145118	A	05/28/1999	NEC CORP.	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
/RM/		KOJI MIYATA, ET AL, "CF ₄ (X=1-3) RADICAL MEASUREMENTS IN ECR ETCHING PLASMA EMPLOYING C ₂ F ₆ GAS BY INFRARED DIODE LASER ABSORPTION SPECTROSCOPY", JAPANESE JOURNAL OF APPLIED PHYSICS, PART 2 (LETTERS) JAPAN, Vol. 34, No. 4A, April 1, 1995, pages L444-L447, XP002314120, ISSN: 0021-4922, abstract	

Examiner Signature	/Rodney Mcdonald/	Date Considered	11/13/2009
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.